

01/14/02

J1011 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

For new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No 9511-087-27

First Inventor or Application Identifier Riichiro ABE, et al.

Title REGULATION OF THE CTL RESPONSE BY MACROPHAGE MIGRATION INHIBITORY FACTOR

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01/14/02

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
2. ☒ Specification Total Pages **44**
3. ☐ Drawing(s) (35 U.S.C. 113) Total Sheets **8**
4. ☐ Oath or Declaration Total Pages **1**
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with box 16 completed)
5. ☐ Incorporation By Reference (usable if box 4B is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein

## ACCOMPANYING DOCUMENTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☒ List of Inventors' Names and Addresses
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:  
Prior application information: Examiner: Group Art Unit:

17. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on

☒ This application claims priority of provisional application Serial No. 60/260,914 Filed January 12, 2001

## 18. CORRESPONDENCE ADDRESS

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Name	Steven B. Kelber	Registration No.	30,073
Signature	<i>Paul C. Kimball</i>	Date	1/14/02
Name	Paul C. Kimball	Registration No.	34,641
		Telephone	202-861-3900

<b>FEE TRANSMITTAL</b>				Docket No.		9511-087-27																																																																																																																																																																																																	
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<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>1. <input checked="" type="checkbox"/> Applicant claims small entity status.</p> <p><input type="checkbox"/> Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442.</p> <p><input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.</p> </div> <div style="width: 50%; text-align: center;"> <p><b>FEE CALCULATION (continued)</b></p> <p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th colspan="2">Fee Description</th> <th colspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th></th> <th></th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge-late filing fee or oath</td> <td></td> <td></td> <td>\$65.00</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td></td> <td></td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> <td></td> <td></td> </tr> <tr> <td>147</td> <td>2520</td> <td>147</td> <td>2520</td> <td>Ex parte reexam fee</td> <td></td> <td></td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>1-mo ext. of time</td> <td></td> <td></td> <td></td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200</td> <td>2-mo ext. of time</td> <td></td> <td></td> <td></td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460</td> <td>3-mo ext. of time</td> <td></td> <td></td> <td></td> </tr> <tr> <td>118</td> <td>1440</td> <td>218</td> <td>720</td> <td>4-mo ext. of time</td> <td></td> <td></td> <td></td> </tr> <tr> <td>128</td> <td>1960</td> <td>228</td> <td>980</td> <td>5-mo ext. of time</td> <td></td> <td></td> <td></td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>160</td> <td>Notice of Appeal</td> <td></td> <td></td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td>Appeal Brief</td> <td></td> <td></td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td>Request for Oral Hearing</td> <td></td> <td></td> <td></td> </tr> <tr> <td>142</td> <td>1280</td> <td>242</td> <td>640</td> <td>Utility/Reissue Issue Fee</td> <td></td> <td></td> <td></td> </tr> <tr> <td>143</td> <td>460</td> <td>243</td> <td>230</td> <td>Design Issue Fee</td> <td></td> <td></td> <td></td> </tr> <tr> <td>144</td> <td>620</td> <td>244</td> <td>310</td> <td>Plant Issue Fee</td> <td></td> <td></td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> <td></td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180</td> <td>IDS Submission</td> <td></td> <td></td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Assignment</td> <td></td> <td></td> <td></td> </tr> <tr> <td>179</td> <td>740</td> <td>279</td> <td>370</td> <td>For Filing RCE</td> <td></td> <td></td> <td></td> </tr> <tr> <td>169</td> <td>900</td> <td>169</td> <td>900</td> <td>Expedited Design</td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="4"></td> <td colspan="4">OTHER (indicate below)</td> </tr> <tr> <td colspan="4">SUBTOTAL (1)</td> <td colspan="2">\$370.00</td> <td colspan="2"></td> </tr> </tbody> </table> </div> <div style="width: 45%;"> <p>2. <input checked="" type="checkbox"/> Check enclosed.</p> </div> </div>								Large Entity		Small Entity		Fee Description		Fee Paid		Fee Code	Fee (\$)	Fee Code	Fee (\$)					105	130	205	65	Surcharge-late filing fee or oath			\$65.00	127	50	227	25	Surcharge-late provisional filing fee or cover sheet				139	130	139	130	Non-English specification				147	2520	147	2520	Ex parte reexam fee				115	110	215	55	1-mo ext. of time				116	400	216	200	2-mo ext. of time				117	920	217	460	3-mo ext. of time				118	1440	218	720	4-mo ext. of time				128	1960	228	980	5-mo ext. of time				119	320	219	160	Notice of Appeal				120	320	220	160	Appeal Brief				121	280	221	140	Request for Oral Hearing				142	1280	242	640	Utility/Reissue Issue Fee				143	460	243	230	Design Issue Fee				144	620	244	310	Plant Issue Fee				122	130	122	130	Petitions to the Commissioner				126	180	126	180	IDS Submission				581	40	581	40	Assignment				179	740	279	370	For Filing RCE				169	900	169	900	Expedited Design								OTHER (indicate below)				SUBTOTAL (1)				\$370.00			
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**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description		Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)				
101	740	201	370	Utility filing fee		\$370.00	
106	330	206	165	Design filing fee			
107	510	207	255	Plant filing fee			
108	740	208	370	Reissue filing fee			
114	160	214	80	Provisional filing fee			
SUBTOTAL (1)				\$370.00			

**2. EXTRA CLAIM FEES**

total claims	19	-	20*	=	0	x	\$9	=	0
ind. claims	6	-	3*	=	3	x	\$42	=	126
<input type="checkbox"/> Multiple Dependent Claims \$140 =									
SUBTOTAL (2)				\$126.00					

\* or number previously paid, if greater, For Reissues, see above

**SUBTOTAL (3)**

**\$65.00**

Name	Steven B. Kelber	Registration No.	30,073	
Signature		Date	1/14/02	Telephone
Name	Paul C. Kimball	Registration No.	34,641	

DOCKET NO. 9511-087-27

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Riichiro ABE, et al.

ART UNIT:

SERIAL NO.: New Application

EXAMINER:

FILING DATE: Herewith

FOR: REGULATION OF THE CTL RESPONSE BY MACROPHAGE  
MIGRATION INHIBITORY FACTOR

**LIST OF INVENTORS' NAMES AND ADDRESSES**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

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A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP



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Attorney of Record

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